

Occupational Certificate: **INVESTMENT ADVISOR**

NQF: 6

SAQA ID 105021

CREDIT: 213

Description

The Occupational Certificate: Investment Adviser covers fundamental topics such as investment principles, portfolio management, securities analysis, risk management, retirement planning, estate planning, and tax considerations. It supports the development of new entrants into the financial services industry and provides opportunities for employees to specialize and grow into fully-fledged Financial Advisors. This qualification contributes to the achievement of the National Development Plan's objectives, job creation, and social harmony. It lays a foundation for entry into the investment advisory industry and supports the growth of the financial services sector through professional training.

Career Fields

With this qualification, successful learners could pursue a career as a:

- Investment Adviser Representative
- Financial Advisor
- Wealth Manager
- Portfolio Manager
- Financial Planner
- Retirement Specialist
- Estate Planner
- Financial Consultant
- Client Relationship Manager
- Investment Analyst

Programme Outline

Knowledge Modules

- Overview of the Investment Advisory Industry
- Regulatory Requirements for Advising Clients on Insurance and Investments
- Fundamentals of Investments
- Principles of Providing Investment Advice to Clients

Practical Skill Modules

- Research, Analyze and Conduct Due Diligence of Investment Opportunities
- Advise Clients on Potential Investment Opportunities
- Facilitate the implementation of Agreed Investment Decisions

Work Experience Modules

- Participate in the Processes of Advising on Investment Decisions (Over six months)

Type of programme

This programme is offered in blended and online formats with live facilitation over a one-year period. Programme rollout formats include block release and a part-time schedule for working people.

Admission requirements

The minimum entry requirement for this qualification is:

- Any NQF Level 4 qualification with communication

Integrated assessment

During the programme's rollout, formative and summative assessments will be conducted. Learners are also required to complete a Final Integrated Summative Assessment (FISA). Upon successful completion of the FISA, learners will receive a Statement of Results (SOR) from iFundi. This SOR is the entry requirement for undertaking the External Integrated Summative Assessment (EISA). EISA is a single, national assessment which leads to competent learners being awarded Occupational Certificates. It is an integral and critical component of QCTO's quality assurance system, as it ensures that the assessment of occupational qualifications, part-qualifications and trades is standardised, consistent and credible. Qualifying for External Assessment: To qualify for an external assessment, learners must provide proof of completion of all required knowledge and practical modules by means of statements of results and a record of completed work experience.

Articulation

This qualification provides opportunities for horizontal and vertical articulation options.

Horizontal Articulation

- Occupational Certificate in Financial Advisor Vertical

Articulation:

- Advanced Diploma in Credit Management
- Advanced Diploma in Management in Financial Planning

